

10-24-1983

## Board of Trustees October 24, 1983

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UNIVERSITY OF MAINE  
BOARD OF TRUSTEES

University of Maine at Farmington  
October 24, 1983

BOARD OF TRUSTEES MEETING

PRESENT: Chairman Thomas Monaghan, Severin Beliveau, Robert Dunfey, Alan Elkins, Joseph Hakanson, Peter Johnston, Geneva Kirk, Richard Marshall, Harrison Richardson. Absent: Francis Brown, Patricia DiMatteo, Stanley Evans, Richard Morin, Ellen Wasserman, Robert Boose. Staff: Chancellor Patrick McCarthy, Harlan Philippi, William Sullivan, Mary Ann Haas, Samuel D'Amico, Byron Skinner, Constance Carlson, Richard Spath, Frederic Reynolds, Robert Woodbury, Judith Sturnick, Paul Silverman.

Chairman Monaghan convened the meeting. On motion made and seconded, the minutes of the meetings held on September 26, 1983 were approved as circulated.

On motion of Mr. Beliveau, which was seconded, the meeting was adjourned to executive session for discussion of a personnel matter. On completion of the executive session, the meeting was reconvened.

1. Reassignment of Chancellor McCarthy. Mr. Monaghan announced that several months ago, Mr. McCarthy had approached him about the possibility of stepping down from the Chancellorship and serving the University in a different role. After consultation with Vice Chairman Francis Brown, Mr. Monaghan said he had appointed a committee to review alternatives for reassignment. The Committee was composed of Francis Brown, Chairman, Severin Beliveau, and Stanley Evans. At the Chairman's request, Mr. Beliveau presented the Committee's findings. Mr. Beliveau reported that the Committee had met several times to discuss the request for reassignment at length, and he left with the Clerk an analysis of the Committee's proposal which included a review of the Chancellor's contributions and accomplishments to date, a copy of which is appended to the file of these minutes. Mr. Beliveau said that Mr. McCarthy, who came to the University in 1975, would like to step down in the Fall of 1985, after ten years service. On behalf of the Committee, Mr. Beliveau moved the following recommendation:

In recognition of Patrick E. McCarthy's service and contribution to the University of Maine and in recognition of the particular set of experiences which he has accumulated and which we would not like to lose,

the Board of Trustees authorize the appointment of Patrick E. McCarthy, as University of Maine Distinguished Service Professor in Public Policy, with tenure, effective September 1, 1985, at an academic year salary commensurate with Board Policy.

Further, this recommendation is approved with the understanding that Patrick E. McCarthy will be

granted a leave of absence for study and research in the public policy area in the year prior to his returning as Professor of Public Policy.

The motion was seconded, and unanimously approved. On behalf of the Trustees, Mr. Monaghan commended the Chancellor for his contributions to the University system and the State. On behalf of the Presidents, Dr. Woodbury expressed appreciation for the opportunity to work with Chancellor McCarthy.

2. Board Guidelines for Citizen Input. Mr. Monaghan recalled that at the last meeting there had been some confusion about the intent of the Board's recently adopted policy on citizen input and, in the interim, guidelines for clarification of the policy had been drafted for Board consideration. He reported that during the agenda meeting which was held earlier in the day, Faculty Representative Douglas Allen had commented on the proposed guidelines. He said he thought the input process would not be overwhelmed by faculty, and that efforts to limit participation might be taken in bad faith. Mr. Monaghan said he had intended to have Professor Allen present his comments to the full Board, but would suggest instead that the Trustees consider tabling the guidelines for a few months to determine whether the process is workable without further interpretation. On motion of Miss Kirk, which was seconded, the guidelines were tabled to the March meeting.

Mr. Monaghan announced that three Orono students had registered to comment on the proposed UMO parking regulations, but he noted that the Campus's Student Representative had already spoken to this issue at the earlier agenda meeting and he questioned whether further comments on this issue were appropriate for the citizen input process. Chancellor McCarthy indicated he had met with a delegation of Orono students in the previous week. He said they had expressed strong feelings about the proposed regulations and may have expected that they would be allowed to voice their concerns. Mr. Monaghan said the students would be heard a bit later in the session.

3. Committee For Academic Excellence. Mr. Monaghan reported that some weeks ago he had been contacted by a colleague, Mr. Owen Wells, who is a Portland attorney. Mr. Wells indicated that he was to head a committee of citizens connected with the Orono Campus, to look into allegations that UMO isn't getting its fair share of State dollars, and that this inequity is impacting on the quality of education. Subsequently, Mr. Monaghan invited the Committee, which its membership has designated the Committee For Academic Excellence, to address the Board and inform the Trustees in more detail about its objectives. In response to that invitation, several members of the Committee were present at this meeting, and Mr. Monaghan introduced Mr. Wells, who served as spokesman for the group. Mr. Wells reported that the Committee is concerned for the quality of education in the State's institutions of public higher education, particularly the University of Maine. He indicated that the Committee's initial focus was on the Orono Campus, but noted that the serious problems

perceived there actually impact on the quality of education in the entire system. He said that from the Committee's perspective, there is little to be gained from a dialogue on whether one or two Campuses should be getting more of the State appropriation, since it is clear that none of the Campuses is getting enough. Given the improbability of obtaining substantial increases through State appropriations or through higher tuition charges, the Committee believes that the only prospect for sizeable amounts of new money lies in attracting funds from the private sector, and that there must be a mechanism to tap that resource. He pointed out that benefactors tend to contribute to individual campuses rather than a university system, but suggested that attempts by the Board to raise funds from the private sector for individual campuses would breach the Board's fiduciary obligations to the System. Mr. Wells commended the concept and practice of campus autonomy which characterize the University System, but noted that such latitude may conceal some hidden dangers for the people of Maine who, the Committee believes, should have broad policy input into the operation of the Campuses. Mr. Wells said the Committee had consulted with scores of educators, business, professional and political leaders, as well as students and private citizens, and it had retained the services of several professionals. As a result, the Committee has advanced a proposal which provides that, under and within the existing system-wide structure, independent Boards of Trustees, or Visitors, be created for the University of Maine at Orono, and the University of Southern Maine. Mr. Wells said the Committee believes such Boards would enhance the governing structure in many ways:

- Representing the interests of the citizens of the State.
- Creating a development program.
- Informing the State and community of services and programs provided at UMO and USM.
- Recommending services, programs, new directions, and areas of retrenchment.
- Selecting the Chief Executive Officer - the Boards should have a voice in the selection and evaluation of Chief Executive of each Campus.
- Budgeting - the Boards should be part of the process of recommending a budget to the Board of Trustees.
- Long-range planning - each Board should be involved with a long-range plan for each Campus.

Mr. Wells noted that other areas of concern would evolve, and reported that the Committee was drafting legislation to create the Boards, which will be submitted to the Legislature. He said the people of Maine are going to have a voice in the operation of the system and the Committee believes this approach is the better of many proposals which are being discussed by a

number of other groups.

After Mr. Wells completed his statement he responded to questions from the Trustees. Mr. Beliveau noted that much of the Committee's concern centered on economics, and he pointed out that the University never receives all that it seeks from the Legislature. He said it would be a great help to the Trustees if the Committee could assist in efforts before the Appropriations Committee to obtain more funding for the University. With respect to the Committee's interest in fund raising, he asked if the Committee was in any way inhibited from soliciting funds from the private sector for use at UMO. Mr. Wells said the Committee was prepared to support the University's efforts before the Appropriations Committee and the Legislature. He acknowledged that there were no legal obstacles to the Committee's solicitation of private funds for the University, and pointed out that, in fact, there are several development vehicles already in place at UMO, such as the Alumni Council, Development Office, University of Maine Foundation, etc. He noted, however, that benefactors want to feel that they are dealing with the top people who have the influence to mold the institution. In response to a question from Mr. Hakanson, Mr. Wells said he thought there was great potential in the Portland area for support of USM, given the strong sense that things are going well there and the high level of regard that prevails for President Woodbury. In response to other questions, Mr. Wells said the Committee would be prepared to help the University secure passage of a bond issue for capital construction, and he said he thought the State was ready to assign a higher priority to University funding. President Sturnick said she was aware that Mr. Wells is personally supportive of the Farmington Campus but she asked what it would mean for the smaller institutions in the System if the Committee expected to focus on two flagship campuses. She pointed out that there are other Campuses of quality and value in the System, which are also attempting to move forward with excellence. Mr. Wells said the Committee is not well placed enough to look at the needs of the small Campuses and their needs should be transmitted to the Legislature for its action. The Committee hopes to be the means of getting the issues before the Legislature. In response to questions from Mr. Beliveau, Mr. Wells said the Committee would have the staff available to spend time at the legislative session to assist the University, as well as to pursue the Committee's specific legislative objectives. There was discussion of prospects for a return to separate boards for the individual campuses. Mr. Wells said that might not be a bad thing, and might have a positive effect on the level of appropriations. President Woodbury spoke to the value of the University's structure with its single governing body, which he said was superior to the multiple-board mechanism, based on his experience in other systems. He said that to modify the University's structure in this way would invite a return to divisive institutional competition which would be resolved in the Legislature to the detriment of educational quality and efficient use of resources. He said there is no question that all of the Campuses need help, and suggested that there is a positive role for various kinds of groups to play in collabora-

tion with the University, rather than seeking revisions in the political structure that would be regressive. President Woodbury pointed out that in contrast to the experience elsewhere in the country, there is no long history in New England of private resources going to public institutions. One of the contributions the Committee For Academic Excellence could make is to begin to reverse that concept. President Silverman concurred that a major problem in fund raising has been to convince potential donors that they should contribute to institutions which receive public support. He said the proposal from the Committee may help to resolve the problem and he looked forward to an opportunity to study the Committee proposal in detail. Chancellor McCarthy said the interest in the University and its funding is welcome. He pointed out that the University as a public institution has a broad charge, which includes small and medium institutions as well as large ones, and the State's citizens need a variety of educational opportunities. He said the University would welcome the Committee's support in the Legislature. Mr. Monaghan thanked Mr. Wells for his presentation and Mr. Wells expressed appreciation for the opportunity to discuss the Committee's objectives with the Board.

4. Citizen Input. Anthony Mangione, Vice President of the UMO Student Senate, served as spokesman for a small group of students who were present to convey the Senate's concerns on the proposed 1983-84 Parking Regulations for UMO. He reported that the Senate considers the parking system discriminatory to students, in that faculty and staff may park where they choose, while students are restricted to designated areas. Further, the Senate contends that changing the fine structure in mid-year will place a financial burden on students, and requests that the Board send the proposal back to the Campus committee for further discussion. Mr. Monaghan thanked the group for voluntarily designating one of their number to speak for all.

5. Report of the Lewiston Campus Study Committee. In the absence of Committee Chairman Francis Brown, Mr. Richardson presented the Committee report. He noted that the consultants' final report had been received and reviewed, and that the Committee had met with a number of principals including the consultants, central administration staff, Campus Presidents from UMA, UMF and USM, and representatives from the City of Lewiston. The Committee recommended that to define its objectives, the Trustees focus their discussion on three questions. First, "Does the Board favor the creation of an independent free-standing Campus at Lewiston?" Mr. Richardson said the question incorporates assumptions for a separate President and a full administrative and academic structure. If this option is rejected, the second question would be, "Does the Board favor the creation of a project designed to provide an increased educational presence in the Lewiston area?" If yes, the third question would be, "Should the Chancellor and staff, together with the Presidents of USM, UMA and UMF, develop a specific proposal for Board consideration?" With respect to question three, Mr. Richardson indicated that through the participation of the designated Campus Presidents, the Board could emphasize the need to minimize any adverse impact on existing institutions, to the greatest extent possible.

If this option prevailed, he said it would be important for the Trustees to outline in advance all the criteria they thought should be applied in designing the project. He said the Committee supports an increased educational presence in Lewiston, but the members believe the Board should first address the question of a separate Campus. After some preliminary discussion, Mr. Richardson framed the Committee's first question as a motion:

MOVED: That the Board favor the creation of an independent, free-standing Campus at Lewiston.

The motion was seconded. With no favorable votes and eight Trustees dissenting, the motion failed to carry. Mr. Richardson

MOVED: that the Board favor the creation of a project designed to provide an increased educational presence in the Lewiston area?

The motion was seconded. Dr. Elkins said he would support the motion but suggested that it be amended to include a provision that implementation be contingent on participation of the City of Lewiston in financing the project. He noted that a recent editorial in a Lewiston paper had opposed the concept of City or County funding for the proposal, and said he would be concerned about financing any project without the City's participation. Mr. Richardson said that the question of financing along with numerous other criteria were proposed in committee and ultimately the Committee concluded it would not try to specify in advance all that should be incorporated into the project. He pointed out that if the proposal developed does not meet the criteria the Board considers essential, it could be rejected. Mr. Monaghan noted that the enabling legislation for the Lewiston proposal requires the City to raise funds for facilities to house the program, and if Lewiston citizens elect not to participate in the funding, there would be no project. The Chairman called for a vote on the question of increased educational presence and the motion carried. Mr. Richardson offered a motion for development for a specific proposal and the motion was seconded. During discussion Mr. Richardson said the Committee imposed no timetable for the project but it was understood that it should move forward promptly. The City of Lewiston will have to determine whether they would participate. Chancellor McCarthy raised a question about the parameters of the project, for the guidance of the staff, and there was consensus that the Trustees would expect a project that could be funded within the terms of the enabling legislation. Mr. Monaghan pointed out that the proposal should focus on programs rather than buildings, and the objective is to develop a program to meet the stated demand for increased services in the Lewiston area. There being no further discussion, it was

VOTED: to direct the Chancellor and staff, together with the Presidents of USM, UMA and UMF, develop a specific proposal for Board consideration.

Lewiston Mayor Paul Dionne, who was in the audience, thanked the Board for recognizing the need for a greater University presence in the Lewiston area. State Representatives Gregory Nadeau and Roger Pouliot thanked the Board for their consideration of this issue, on behalf of their Lewiston constituencies. Representative Nadeau said he thought there was increased awareness in the Legislature of the State's educational needs, and he was hopeful that the Legislature would address the University system as a whole and dedicate more resources to improve education for the people of Maine.

6. Host Campus Presentation. President Judith Sturnick formally welcomed the Trustees to the Farmington Campus. In her introductory remarks she announced that the presentation would focus on the problem of potential enrollment decline and the ways in which UMF has addressed this issue. She introduced Dr. Harry Kerr, who served as spokesman for the Campus. Dr. Kerr touched briefly on the historical background of the problem of declining enrollment, which has concerned educators for a number of years. The Campus's efforts to resolve the problem are outlined in summary form below:

- Development of supportive attitudes.

- . The basic premise of the Campus approach was involvement of the faculty, who would bring different perspectives and skills to bear.
- . To achieve this involvement, a slow but steady flow of information alerted the faculty to the problem and to its probable impact on budgets, positions, and programs.
- . As faculty began to perceive the needs, there was consensus that they should work together to maintain and improve the institution.

- Planning appropriate action.

- . A committee contemplated ways to recruit part-time students and Student Services planned extensive and responsive support activities for these students who tend to be older and have family or employment responsibilities.
- . Individual departments focused on attracting full-time students, and the Faculty Task Force looked at recruitment of full-time students from an institutional perspective.

- Implementing the plan.

- . A handbook was developed and additional study space was created for part-time students.



- . The academic departments dealt primarily with contacting prospective students, maintaining the contact, and providing support once the student had matriculated.
- Departments hosted symposia and small-group conferences for high school students.
- Other activities were aimed at high school freshman, sophomores and juniors and elementary school students participated in a Young Writers Conference. More than 1200 prospective students were introduced to the Campus in this way.
- . Contact with interested students was maintained through distribution of relevant literature, cultural and athletic events.
- . Faculty support for matriculated students was strengthened.
- . Frequency of contact between the faculty, school teachers and the community was increased.
- . The Faculty Task Force sponsored renewed professional ties between the Campus and its alumni teachers which has generated many ideas for student recruitment and provided a large group of volunteer recruiters.
- Analyzing the results.
  - . Overall, full-time enrollment is up modestly; part-time enrollment is up dramatically - increases achieved in the face of a presumably shrinking market.
  - . More importantly, UMF believes that the changes in attitude over the past two or three years will result in a steady supply of quality students and they are confident that the quality of its graduates will increase. The key is faculty involvement, and strong school and community support.

7. Chancellor's Remarks. Chancellor McCarthy reported briefly on enrollment for Fall, 1983. He noted that University enrollment continues to rise. The Fall semester figure of 28,591 includes increased numbers of both part-time and in-state students. He said that more than 50% of the students are females and the average age of the student body continues to rise, which demonstrates that the University is accessible to the 18- to

24-year olds, and to non-traditional students as well. He also took note of the recent inauguration of Dr. Byron Skinner as President of the University of Maine at Augusta, which he said was beautifully commemorated and illustrative of the extraordinary way that Campus and its community came together.

8. Gifts, Grants and Awards. Mr. Hakanson, Chairman of the Finance Committee, presented the recommendation, taking special note of a substantial gift from the Dead River Company to the Hutchins Forestry Fund. On motion of Mr. Hakanson, which was seconded, it was

VOTED: to accept with gratitude all gifts, grants  
and awards listed under date of October 24,  
1983.

9. Performing Arts Center - Status Report. Mr. Sullivan, Vice Chancellor for Administration, reported that soon after the last meeting, at which the Board approved the awarding of a contract for construction of a Concert Hall/Museum at UMO, a letter of intent was issued in the amount of \$4.8 million. Three major items have been deleted from the construction contract in order to stay within the limit authorized by the Board. These items, which will be covered in a future contract, include auditorium seating, floor and wall coverings, and the sound system. In addition, the staff has negotiated \$280,000 in cost reductions which would not have a significant impact on the quality of the building. Mr. Sullivan pointed out that the base bid did not include certain items which will have to be added before occupancy, and most of the moveable equipment had also been held outside the contract, along with exterior items such as landscaping and parking space. He reported that the cost of all reserved items is \$1.3 million at current prices. He said that additional fund raising to meet these costs is underway.

10. Program Assessment. Mr. Richardson, Chairman of the Educational Policy Committee, called attention to a timetable for program assessment, which had been presented for information. Dr. Philippi, Vice Chancellor for Academic Affairs, briefly outlined the series of Board policies which relate to academic programs, including those which govern new program development, elimination of obsolete programs, and periodic assessment of ongoing programs. He reported that assessment of existing programs has tended to be haphazard and the system's Chief Academic Officers developed a master schedule which will insure regular review, in compliance with Board policy. The schedule identifies the specific programs which will be assessed by each Campus in a particular time frame.

11. Student Evaluation of Faculty. Mr. Richardson indicated that this item had been withdrawn for the time being and would be resubmitted at a later date. Chancellor McCarthy said a review of the final product by UMOSG and the newly reconstituted Faculty Liaison Council, had generated a number of comments which the staff thought should be addressed. The document will be resubmitted in December, if possible.

12. Frequency Change for UMF Radio Station. In the absence of Mrs. DiMatteo who chairs the Physical Plant Committee, Mr. Marshall presented the recommendation to process a change in the operating frequency of the student radio station at the Farmington Campus. On motion of Mr. Marshall, which was seconded, it was

VOTED: to authorize the University Treasurer to submit an application to the Federal Communications Commission requesting authorization to change the operating frequency of WUMF-FM from 92.3 MHz to 100.5 MHz.

13. Land Lease to City of Old Town. On motion of Mr. Marshall, which was seconded, it was

VOTED: to authorize a 20-year lease of approximately 0.4 acres of land to the City of Old Town for use as a site for a Tourist Information Center.

14. Installation of Steam Meters, UMO. On motion of Mr. Marshall, which was seconded, it was

VOTED: to authorize a project to install steam flow meters in 25 Residence and Dining buildings, and further authorize the transfer of \$100,000 from the Residence and Dining Reserve to an appropriate plant fund account to cover the project costs.

Chancellor McCarthy noted that this installation is an energy conservation project which will generate cost savings as well. Mr. Eustis reported that a 2- to 3-year payback is anticipated.

15. Revised Parking and Motor Vehicle Rules, UMO. Mr. Marshall moved adoption of the recommendation and the motion was seconded. During discussion Mr. Marshall noted that the UMO Student Representative had requested that the Rules be sent back to the Campus Committee for further discussion with students. President Silverman reported that he had consulted with Anthony Mangione, after he addressed the Board on this issue earlier, and with Craig Freshley, President of the Student Senate. The President said he had pledged to work with the students to resolve any remaining difficulties, with the understanding that the revisions would go forward at this time. He said he felt strongly that the proposal was more liberal than current Campus procedures. Mr. Richardson asked about a reported \$20,000 overdue and unpaid fines account. President Silverman said he was unable to verify that figure after checking with the Campus this morning but he would pursue the matter and report back. Mr. Monaghan asked Mr. Mangione if the students were satisfied with arrangements to resolve the differences. Mr. Mangione expressed

appreciation for the President's cooperation. He indicated that the only substantive problem remaining was the increase in fines, which he said would be unacceptable and he asked if the increases, only, could be tabled. In further discussion, the Trustees concluded that the issue had received sufficient attention and that the level of fines did not appear unusual. The administration was directed to consult with the students about their concerns and it was agreed that action would be taken on the recommendation, as submitted. If further revisions are necessary, they will be taken up at a later date. There being no further discussion, it was

VOTED: to adopt the University of Maine at Orono  
Parking and Motor Vehicle Rules, dated  
October 10, 1983, effective October 24,  
1983.

16. Capital Construction Status Report. Mr. Marshall called attention to the report which was provided for information.

17. Collective Bargaining Status Report. Mr. D'Amico, Associate Vice Chancellor for Employee Relations, reported that there has been no significant change in the status of collective bargaining activity since the last meeting of the Board.

18. E.E.O. Affirmative Action. In the absence of Dr. Evans, Chairman of the Personnel and Employee Relations Committee, Mr. Marshall presented the recommendation which was intended to update the existing policy with appropriate references and to re-emphasize the Board's commitment to equal employment opportunities. President Silverman noted that formal approval of the recommendation would be helpful to the Orono Campus. Research support from federal agencies now exceeds the \$1 million level, which mandates certain compliance requirements before funds can be released. Formal review by the Bureau of Labor has verified that UMO is in compliance with federal regulations but formal acknowledgement by the institution is also required. On motion of Mr. Marshall, which was seconded, it was

VOTED: It is the policy of the University of Maine to comply with applicable laws prohibiting discrimination on the grounds of race, color, religion, sex, national origin, age, handicap, or veterans status in any area of the University. The University's policy shall include, but it shall not be limited to, the requirements of Executive Order 11246 and 11375, as amended in Department of Labor, Office of Federal Contract Compliance Programs, 41CFR, Part 60-2, October 20, 1978; the Age Discrimination in Employment Act, as amended; Section 503 of the Rehabilitation Act of 1973, as amended; and Section 402 of the Vietnam Era Veterans Readjustment Assistance Act of 1974.

To implement this policy, the Chancellor is

directed to take action that will assure that all units of the University of Maine are in compliance with the stated policy of the Board of Trustees, and with all pertinent federal regulations.

The Chancellor is authorized to review and approve affirmative action plans prepared by the various Campuses and organizational units of the University prior to their implementation and prior to submission of such plans to the federal government for determination of compliance with federal regulations.

19. Tuition Differentials. Chancellor McCarthy provided an oral report on this item in response to a request from Trustees earlier that the staff consider the implications of differential tuition rates which would reflect the higher costs of some programs. He said the Administrative Council had engaged in long and careful debate of the issue in which the intended consequences of price had been weighed against unintended consequences of changing patterns of enrollment or access. For the time being at least, the Presidents recommend no further differentiation. The Chancellor noted that some programs are subject to higher charges now, including graduate programs and Law School programs. He elaborated on the other options considered by the Council and indicated that each alternative entailed consequences that might change access. The Council has suggested, however, that the present practice of capping tuition at a maximum of 15 credit hours should be reconsidered since the Council believes that the cost of producing academic activity, at whatever level, is determined by credit hours taken. To remove the cap and charge for each credit hour taken would, however, constitute an increase in tuition, and the Presidents did not want to recommend removal of the cap at this time. They have suggested that this option be considered when tuition increases are proposed again. There was further general discussion of the possibilities for reducing out-of-state tuition at some point, and of the possible development of compacts similar to the NEBHE program, aimed at international students. It was suggested that these options should be weighed as the University considers efforts to retain a competitive tuition structure at a time when the number of 18- and 19-year olds is declining.

Mr. Monaghan announced that the next meeting of the Board would be held at the University of Maine at Orono on December 12th.

On motion of Mr. Richardson, which was seconded, the Board voted to adjourn to executive session for discussion of collective bargaining items.

Following the executive session the Chairman reconvened the meeting. On motion made and seconded, the meeting was adjourned.

JoAnne R. Magill  
Clerk of the Board

## BACKGROUND:

In early May, the Chairman of the Board reported to the Vice Chairman that the Chancellor had talked with him about possibilities for re-assignment. As a follow-up, Chairman Monaghan asked that the Vice Chairman work with a small committee of Trustees to prepare a response to the request for presentation to the Board. Trustee Evans and Trustee Beliveau, who had previously chaired committees on the evaluation of the Chancellor, and Vice Chairman Brown, have gathered information and developed the following proposal for consideration by the Board of Trustees.

## PROPOSAL:

Patrick E. McCarthy's stewardship of the University of Maine has been an exciting and productive one. It would pose an impossible task to list in detail the many achievements which have occurred during his tenure as Chancellor. However, there are general areas which I would like to highlight.

A system's effectiveness is possible to the degree that cooperation and coordination exists among all of its parts. Through the years, there has been significant growth in this area as noted in the shared exchange of programs and ideas, in the number of coordinated programs between particular campuses and in the delivery and support of system-wide experimental programs. The working relationships with the Presidents have been consistently strengthened and excellent appointments have been made. The Trustees have become more actively and effectively involved in policy-making decisions in areas involving academic programs, budgets, collective bargaining, facilities maintenance and legislative affairs. The Chancellor has served the Board and University well, providing information and performing tasks assigned to him in a manner that has brought credit to both.



Certainly, the quality of an educational institution is dependent upon its resources--human and financial. During his stewardship, the University of Maine has gone through a number of different and very important transitions. Employees of the University of Maine, including faculty, have become unionized and procedures for contract negotiations established and operational for all units. The process has been one that has been handled effectively, even-handedly and with the least possible disruption under unusual circumstances. The presence of a union with the faculty has proved not to be a disabling force on the University of Maine's day to day activities. Leadership is very important to an environment where law and collective bargaining processes have moved into certain traditional areas of decision making within the University. Academic activities have been designed to assure that a sense of collegiality does exist and that communication networks throughout the University system do remain. An example of such an activity is the statewide symposiums whereby faculty with similar teaching and research assignments meet to share concerns, challenges and aspirations. In fact, a number of innovative and highly laudible programs have developed from such symposiums.

During this period, our financial resources have increased, our support from the Governor and the Legislature has increased, our enrollments have increased, the condition of our faculty salaries has received top priority, and our programs have remained at a top level despite scarce resources.

Public support and understanding is critical to the long-term effectiveness of any institution. A perusal of the various publications, papers, reports, newsletters, et cetera provides justification that improvements have been made and that the University, with all of its campuses, does enjoy greater support and commitment from the people it serves than it did in its earlier years.





The contributions which Chancellor McCarthy has made in the foregoing examples as well as specific examples which may be found in management practices, accountability mandates, and personnel policies and procedures are ample evidence of his creativity and leadership style which will leave an indelible mark on this institution for many years into the future.

Chancellor McCarthy's professional experience reflects his long association with public policy. Before coming back to the State of Maine nine years ago, he served as Chancellor and Deputy Chancellor of the Massachusetts Board of Higher Education for eight years. He also has served in an important international post as the Chief Advisor from the United Nations to the Government of Ireland. In that capacity, he established a Multi-Disciplinary Research Institute in Dublin that was used as a model for international research institutes in several other world locations.

Prior to his work with the United Nations, he headed up important urban renewal and urban redevelopment projects in Boston, Worcester, and California. He has worked closely with governors, ministers, members of congress, and even on occasion, with presidents. He has consulted with businesses and with the Corporation for Public Broadcasting, American Academy of Arts and Sciences, and with local organizations providing either health care or public service. He is nationally recognized through his participation in the Association of Governing Boards Mentors program. It can be said that he has been at the cutting edge of local, state, educational and international policy making for most of the last 25 years.

In reviewing programs which other institutions have developed for chief executives, we discovered the general characteristics to be recognition as a University Professor, phased bonuses or supplements to retirement benefits, or early retirement as emeritus with no carry-over responsibility to the institution.





# UNIVERSITY OF MAINE

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System-wide Services

107 Maine Avenue  
Bangor, Maine 04401-1805  
207/947-0336

## AGENDA ITEM SUMMARY

1. Name of Item: Acceptance of Gifts, Grants and Awards
2. Initiated by: Office of Funds Management
3. Board Information ☐ Board Action ☒
4. Background: Board policy in the area of Financial Affairs requires Board acceptance of all gifts, grants, donations and bequests.
5. Text of Proposed Resolution  
The Board of Trustees accepts with gratitude all gifts, grants and awards listed under date of October 24, 1983.
6. Action Taken:



UNIVERSITY OF MAINE

BOARD OF TRUSTEES  
MEETING

October 24, 1983

Summary of Gifts

Gifts of cash and negotiable securities totaling \$282,719 and various in-kind gifts were received by the University of Maine since the last Board meeting.

The following is the distribution by campus:

	<u>CASH</u>
Augusta	600
Farmington	---
Fort Kent	1,055
Machias	650
Orono	221,319
Southern Maine	2,705
Presque Isle	500
MPBN	55,890
All Campuses	---

All Gifts received fulfill the policy requirements of the Board of Trustees and follow the intent of the donors.

GIFTS OVER \$10,000

UMO	Dead River Company	C & R Hutchins Forestry Fund	\$111,000
UMO	Kenduskeag Foundation	College Forest Resources	17,000
UMO	UMO Foundation	Unrestricted Gift	18,923

NEW FUNDS

Restricted

Irving H. Prageman Annual Scholarship Fund - UMO  
Irving H. Prageman Book Fund - UMO  
Harold A. Alfond Athletic Scholarship Fund - UMO  
Addie M. Weed Scholarship Fund - UMO

CHANGE IN FUNDS

Class of 1953 Grant-in-Aid Fund - UMO



University of Maine  
Board of Trustees

October 24, 1983  
University of Maine at Farmington

NEW FUNDS:

Restricted:

The Irving H. Prageman Annual Scholarship Fund was established in 1983 at the University of Maine at Orono by a trust fund through a bequest from Irving H. Prageman, Professor Emeritus of Mechanical Engineering. This award shall be used for Scholarship assistance to worthy students majoring in Mechanical Engineering. Selection shall be made by the Office of Student Aid.

The Irving H. Prageman Book Fund was established in 1983 at the University of Maine at Orono by a bequest from Professor Emeritus Irving H. Prageman. The fund shall be used to purchase engineering books, preferably for mechanical engineering, and these books shall be identified by a bookplate bearing his name.

To provide for disbursement of income from funds previously established in the University of Maine Foundation:

The Harold A. Alfond Athletic Scholarship Fund was established in 1983 in the University of Maine Foundation at UMO. The income from this fund shall be used for athletic scholarship assistance. The selection of the recipient(s) shall be made by the Director of Physical Education and Athletics and administered by the Office of Student Aid.

The Addie M. Weed Scholarship Fund was established at UMO in 1983 in the University of Maine Foundation by her friends. The income from this fund shall be used to provide scholarship assistance to needy students with preference to be given to those from Veazie, Maine. The recipient(s) shall be selected by the Office of Student Aid.

Change in Fund

The Class of 1953 Grant-in-Aid Fund (F) was established in the University of Maine Foundation at UMO by members of the Class of 1953. The income is to be given each year to a senior man or woman who shows pressing financial need and is fulfilling the requirements for graduation.





UNIVERSITY OF MAINE - BOARD OF TRUSTEES  
GIFTS ACKNOWLEDGEMENT

October 24, 1983

DONOR	USE	ALUMNI	CORP.	FOUND.	MATCH.	INDIV.	OTHER	CAMPUS
MPBN Eastman Kodak Co.	Fundraising Elec. Engineering Chem. Engineering Mech. Engineering Minsky Family Fund Surveying Engineering		750.00 450.00 150.00				55,889.92	MPBN
Norman Minsky NE Section of the Amer. Congress of Surveying & Mapping Maine Division of the Women's National Farm & Garden Assoc.	Beatrice Batchelder Wright Sch. Fund					800.00	1,000.00	UMO UMO UMO
Class of 1968 Class of 1960 Dead River Co.	D. Rittenhouse Sch. Class of 1960 Sch. C & R Hutchins Forestry Fund	2,500.00 200.00		111,000.00			250.00	UMO UMO UMO
Internat. Paper Co. Kenduskeag Found.	Coop. Forestry Res. Dwight B. Demeritt Sch. Coll. Forest Resources K. MacDonald Meritt Sch. K. MacDonald Ath. Sch. K. M. & W. H. Bragg Fund Harry Emery Loan Fund C. E. Gilbert Loan Fund Oscar W. Mountfort Fund E. Giddings Professorship Fogler Library Friends of Planetarium General Sch. Fund 1932 Win Libby Sch. Chemistry Department Dept. of Physics		27,497.00	6,000.00 17,000.00 375.00 625.00 350.68 2,602.60 7,920.38 2,233.50 2,649.31				UMO UMO UMO UMO UMO UMO UMO UMO UMO UMO UMO UMO UMO UMO
UMO Foundation								
UMO Foundation								
UMO Foundation								
M/M John Jicha, Jr. M/M A. Winterhalder Mrs. Melou Unobskey Class of 1933 Gen. Alumni Assoc.						50.00 25.00 100.00		UMO UMO UMO UMO UMO



<u>DONOR</u>	<u>USE</u>	<u>ALUMNI</u>	<u>CORP.</u>	<u>FOUND.</u>	<u>MATCH.</u>	<u>INDIV.</u>	<u>OTHER</u>	<u>CAMPUS</u>
Penguins Super- intendents Assoc. Class of 1963	Mark Shibles Fund						500.00	UMO
Attached Listing	Mary Hoyt Math Sch. Fogler Library Athletic Sch. Unrestricted	500.00 6,000.00 780.00				2,052.00		UMO UMO UMO UMO
Attached Listing	Friends of Fine Art		100.00			2,605.00		USM
Camden Rotary	Camden Rotary Sch.						600.00	UMA
Emery E. Allain Attached Listing	Thomas S. Plinkham Sch. Unrestricted Gifts		170.00			250.00 635.00		UMEX UMEX
Attached Listing	Performing Arts Center					575.00	75.00	UMM
UMPI Found.	Foundation Sch. Award			500.00				UMPI

10/1/2007  
10/1/2007  
10/1/2007

10/1/2007  
10/1/2007  
10/1/2007

GRANTS, AWARDS AND CONTRACTS  
SEPTEMBER 1983

AUGUSTA:

State and Local Funds:

State of Maine - Dept. of Educational & Cultural Services Training for Displaced Homemakers	\$ 74,051
State of Maine - Dept. of Labor Displaced Homemakers Project	<u>74,624</u> \$ <u>148,675</u>

FORT KENT:

Federal Funds:

U. S. Dept. of Education  
Special Services Grant

\$ 69,266  
\$ 69,266

MACHIAS:

Federal Funds:

U. S. Dept. of Education  
Title III - Institutional Aid Program

\$ 108,123  
\$ 108,123

ORONO:

Federal Funds:

National Science Foundation  
Behavior in Fishes Using Selective Tidal Streams (add'l funds)

\$ 31,915



Spawning and Larval Drift of American and European Eels (add'l funds)	106,630
Heat Flow & Radioactivity Studies in Maine (add'l funds)	55,000
Fellowship - Ralph Baker	10,900
Distribution & Branching on Thermal Conductivity and Thermal Diffusivity	62,791
Food and Feeding Habits of Antarctic Asterozoan (add'l funds)	9,999
Study of Surface Generated Buld Waves in Quartz (add'l funds)	4,908
Sources of Compliance with Small Claims Judgement	19,698
Solid State NMR Investigations	50,000
 U. S. Dept. of Defense	
Intergovernmental Personnel Agreement - U.S.A.F. Resident Research - Pushpa Gupta	44,976
 U. S. Dept. of Interior	
Assessment & Evaluation of Information Base for Big Bend National Park (add'l funds)	13,725
Furbearer Ecology (add'l funds)	7,854
 U. S. Dept. of Health and Human Services	
Prediction of Self-Control Problems in Early Childhood	17,418
Age, Hypertension and Intellectual Performance	74,305
 U. S. Dept. of Education	
Special Education for Rural Areas	66,691
 Peace Corps	
Peace Corps Recruiting	7,446
 U. S. Dept. of Commerce	
Atlantic Salmon Meristics	11,937
Salmon Genetics	10,902
 U. S. Dept. of Agriculture	
Improving Skid Production	9,283
Spruce Budworm Assessment - Baxter State Park (add'l funds)	9,744
Budworm Growth Impact	36,090
Vitamin A in Food	49,989
 U. S. Environmental Protection Agency	
Research on Radon Removal	83,861



MEMO - RE: HILL TRUSTS FOR REPLACEMENT OF  
THE TRUST OF COMMERCE

Revised

DEPARTMENT OF COMMERCE  
BUREAU OF COMMERCE - OFFICE OF TRADE RELATIONS

RE: HILL TRUSTS FOR REPLACEMENT OF  
THE TRUST OF COMMERCE - OFFICE OF TRADE RELATIONS

FOR THE YEAR 1934

RE: HILL TRUSTS FOR REPLACEMENT OF  
THE TRUST OF COMMERCE - OFFICE OF TRADE RELATIONS

Revised

FMC Corporation	
Carrageenan Stability During Anaerobic Fermentation	12,034
Pennsylvania State University	
Biological Control of Rhizochonia	15,000
Northeast Research	
Maine Teen O.U.I. Survey	11,542
Energy Conservation Market Survey	6,790
Maine Adult O.U.I. Survey	11,288
Various Donors (see listing)	
Maine Agricultural Experimental Station Research Support	21,781
	<u>192,544</u>
	\$

SOUTHERN MAINE:

Federal Funds:

U. S. Dept. of Health and Human Services  
Head Start Grant FY84

\$ 93,075  
\$ 93,075

State and Local Funds:

State of Maine - Dept. of Educational & Cultural Services  
Adult and Community Education FY84

\$ 20,000

State of Maine - Dept. of Human Services  
Prenatal Care

29,239  
\$ 49,239

SWS:

Federal Funds:

U. S. Dept. of Commerce  
MPEN - Mars Hill Transmitter Replacement

\$ 306,750  
\$ 306,750



SUMMARY REPORT - FISCAL YEAR 1984

(ALL CAMPUSES)

<u>Grants, Awards and Contracts</u>	<u>Current Report</u>	<u>Fiscal Year</u>
Federal Funds	\$1,425,160	\$2,066,348
State and Local Funds	375,629	681,806
Private Funds	<u>192,544</u>	<u>259,663</u>
Total	\$1,993,333	\$3,007,817

